



JOINT APPLICATION FORM

For Permits for activities affecting streams, waterways, waterbodies, wetlands, coastal areas, sources of water, and endangered and threatened species.

You must separately apply for and obtain Permits from each involved agency before starting work. Please read all instructions.

1. Applications To:
>NYS Department of Environmental Conservation
Check all permits that apply: Stream Disturbance, Dams and Impoundment Structures, Tidal Wetlands, Water Withdrawal, etc.
>US Army Corps of Engineers
Check all permits that apply: Section 404 Clean Water Act, Section 10 Rivers and Harbors Act
>NYS Office of General Services
Check all permits that apply: State Owned Lands Under Water, Utility Easement, Docks, Moorings or Platforms
>NYS Department of State
Check if this applies: Coastal Consistency Concurrence

2. Name of Applicant
Mailing Address, Telephone, Email, Taxpayer ID, Post Office / City, State, Zip
Applicant Must be (check all that apply): Owner, Operator, Lessee

3. Name of Property Owner (if different than Applicant)
Mailing Address, Telephone, Email, Post Office / City, State, Zip

For Agency Use Only Agency Application Number:

4. Name of Contact / Agent

Mailing Address Post Office / City State Zip

Telephone Email

5. Project / Facility Name Property Tax Map Section / Block / Lot Number:

Project Street Address, if applicable Post Office / City State Zip

Provide directions and distances to roads, intersections, bridges and bodies of water

Town Village City County Stream/Waterbody Name

Project Location Coordinates: Enter Latitude and Longitude in degrees, minutes, seconds:
Latitude: ° ' " Longitude: ° ' "

6. Project Description: Provide the following information about your project. Continue each response and provide any additional information on other pages. **Attach plans on separate pages.**

a. Purpose of the proposed project:

b. Description of current site conditions:

c. Proposed site changes:

d. Type of structures and fill materials to be installed, and quantity of materials to be used (e.g., square feet of coverage, cubic yards of fill material, structures below ordinary/mean high water, etc.):

e. Area of excavation or dredging, volume of material to be removed, location of dredged material placement:

f. Is tree cutting or clearing proposed? Yes If Yes, explain below. No
Timing of the proposed cutting or clearing (month/year):
Number of trees to be cut: Acreage of trees to be cleared:

g. Work methods and type of equipment to be used:

h. Describe the planned sequence of activities:

i. Pollution control methods and other actions proposed to mitigate environmental impacts:

j. Erosion and silt control methods that will be used to prevent water quality impacts:

k. Alternatives considered to avoid regulated areas. If no feasible alternatives exist, explain how the project will minimize impacts:

l. Proposed use: Private Public Commercial

m. Proposed Start Date: Estimated Completion Date:

n. Has work begun on project? Yes If Yes, explain below. No

o. Will project occupy Federal, State, or Municipal Land? Yes If Yes, explain below. No

p. List any previous DEC, USACE, OGS or DOS Permit / Application numbers for activities at this location:

q. Will this project require additional Federal, State, or Local authorizations, including zoning changes?

Yes If Yes, list below. No

7. Signatures.

Applicant and Owner (If different) must sign the application. If the applicant is the landowner, the **landowner attestation form** can be used as an electronic signature as an alternative to the signature below, if necessary. Append additional pages of this Signature section if there are multiple Applicants, Owners or Contact/Agents.

I hereby affirm that information provided on this form and all attachments submitted herewith is true to the best of my knowledge and belief.

Permission to Inspect - I hereby consent to Agency inspection of the project site and adjacent property areas. Agency staff may enter the property without notice between 7:00 am and 7:00 pm, Monday - Friday. Inspection may occur without the owner, applicant or agent present. If the property is posted with "keep out" signs or fenced with an unlocked gate, Agency staff may still enter the property. Agency staff may take measurements, analyze site physical characteristics, take soil and vegetation samples, sketch and photograph the site. I understand that failure to give this consent may result in denial of the permit(s) sought by this application.

False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the NYS Penal Law. Further, the applicant accepts full responsibility for all damage, direct or indirect, of whatever nature, and by whomever suffered, arising out of the project described herein and agrees to indemnify and save harmless the State from suits, actions, damages and costs of every name and description resulting from said project. In addition, Federal Law, 18 U.S.C., Section 1001 provides for a fine of not more than \$10,000 or imprisonment for not more than 5 years, or both where an applicant knowingly and willingly falsifies, conceals, or covers up a material fact; or knowingly makes or uses a false, fictitious or fraudulent statement.

Signature of Applicant

Date

Applicant Must be (check all that apply): Owner Operator Lessee

Printed Name

Title

Signature of Owner (if different than Applicant)

Date

Printed Name

Title

Signature of Contact / Agent

Date

Printed Name

Title

For Agency Use Only

DETERMINATION OF NO PERMIT REQUIRED

Agency Application Number

(Agency Name) has determined that No Permit is required from this Agency for the project described in this application.

Agency Representative:

Printed Name

Title

Signature

Date



JOINT APPLICATION FORM – INSTRUCTIONS

Use this application to apply for Permits from all of the listed state and federal agencies. This form is for all projects that affect streams, waterways, waterbodies, wetlands, coastal areas, sources of water, and endangered and threatened species.

This Joint Application Form has four pages. Incomplete, illegible or inaccurate information may delay your permit decision. Agencies may request additional information to complete your application. If you have any questions, refer to the Agency Contact Information on pages four and five of these instructions.

PERMITS REQUESTED

You are responsible for obtaining all federal, state or local approvals. Check all Permits you are applying for from the listed Agencies.

You must obtain an authorization from each involved Agency before you start work.

APPLICANT, PROPERTY OWNER, CONTACT/AGENT INFORMATION AND SIGNATURES

Information about and signatures of the Applicant and Owner are required. Eligible applicants are Owners, Operators at the site or facility, and Lessees.

Information about and signature of the Contact/Agent, where applicable, are required. Construction or work contractors or others may be named as a Contact/Agent on behalf of the applicant, but cannot be the Applicant.

Applications by . . .

Must be signed by . . .

- Corporations a member of the board of directors or a "high managerial agent" of the corporation, as defined in the § 20.20 of the NYS Penal Law.
• Partnership a general partner.
• Sole Proprietorship the proprietor.
• Limited Liability Company by member or manager in accordance with the LLC's articles of organization as filed with the NYS Secretary of State.
• State Agency by a person duly designated by the commissioner or other agency head.
• Municipalities (counties, cities, towns and villages) and Public Corporations by the chief executive officer, the head of a subordinate agency or department, or a person duly designated by the chief executive officer.

Append additional pages of the form's Applicant, Owner or Contact information, and provide additional pages of the form's Signature section if there are additional Applicants, Owners or Contacts.

PROJECT / FACILITY INFORMATION

Provide the project address, if applicable. If there is no street address, describe the location using identifiable nearby features.

Include the tax parcel identification number(s). Tax Parcel ID numbers are found on the tax map of the community or the annual tax bill, or can be viewed at the local tax assessor's office. These ID numbers are generally in the form of section, block, and lot (SBL) numbers.

If you are able, provide project location coordinates as Latitude and Longitude in degrees, minutes, seconds.

PROJECT DESCRIPTION AND PURPOSE


Provide a complete description of the proposed work and its purpose. Attach additional pages if necessary. Attach plans on separate pages. The following information must be provided:

- purpose of the proposed project;
- description of current site conditions;
- proposed site changes;
- type of structures and fill materials to be installed, and quantity of materials to be used (e.g., square feet of coverage, cubic yards of fill material and/or structures below ordinary/mean high water, etc.);
- area of excavation or dredging, volumes of material to be removed, and location of dredged material disposal or use;
- timing and amount of tree cutting or clearing;
- work methods and type of equipment to be used;
- planned sequence of activities;
- pollution control methods and other actions proposed to mitigate for environmental impacts;
- erosion and silt control methods that will be used to prevent water quality impacts;
- alternatives considered to avoid regulated areas; if no feasible alternatives exist, explain how the project will minimize impacts

Additional details may be required by the Agencies.

REQUIRED APPLICATION ATTACHMENTS

Attach and submit the following to all involved Agencies:

1. **Location Map** - The map must show the project site boundaries at a scale large enough to display relevant information about the site. A scale of 1 inch equals 2,000 feet is generally adequate (scale ratio 1:24,000). The map can be a US Geological Survey (USGS) or NYS Department of Transportation (DOT) Quadrangle Map, or an equivalent map (e.g., tax map, an image from Google maps, or Bing maps) identifying the project location. An acceptable location map may be obtained from DEC's online Environmental Resource Mapper (<http://www.dec.ny.gov/animals/38801.html>), using the Printer  tool.
2. **Project Plans** - Sketch plan and cross-section views drawn to scale with dimensions, or engineering drawings showing the location and extent of work. Show the direction of the photographs required in Item 3, below. Drawings must include on-site wetlands, streams and ditches. See sample plans at: <http://www.dec.ny.gov/permits/70934.html>, <http://www.dec.ny.gov/permits/70807.html>, <http://www.dec.ny.gov/permits/6342.html>.
3. **Photographs** - At least three color photographs, taken from multiple directions, clearly showing the project site without snow cover. Include all existing structures on the site and the area surrounding the site. Indicate the photo's direction and the time and date when taken.

If applying to New York State Agencies:

State Environmental Quality Review Act (SEQR) regulation (6 NYCRR Part 617, <http://www.dec.ny.gov/regs/2488.html>) is applicable -

- a) If the project is an Unlisted Action, submit a completed Part 1 of a Short Environmental Assessment Form. ¹
- b) If the project is a Type I Action, submit a completed Part 1 of a Full Environmental Assessment Form. ¹

On-line fillable Short and Full EAFs are available on DEC's website (see <http://www.dec.ny.gov/permits/6191.html>). This webpage also provides DEC's **EAF Mapper Application** which can help you complete the EAF. The project site boundaries can be drawn or a specific tax parcel can be selected. The EAF Mapper can provide you with some of the information required for the Location Map, and the Tax Parcel ID questions on the Joint Application Form.

If applying to NYS DEC:

All DEC permits applications required for the project (see <http://www.dec.ny.gov/permits/6081.html>) must be submitted at the same time.

¹ DEC Forms are available at NYS DEC offices and at www.dec.ny.gov/permits/6222.html.

If applying to USACE/NYS DOS:

If the project requires a federal permit and lies within or affects the Coastal Area (see the DOS Coastal Area Maps at <https://dos.ny.gov/using-coastal-atlas-gis-gateway>) submit a completed Federal Consistency Assessment Form (FCAF, available at <https://dos.ny.gov/federal-consistency-assessment-form-fcaf>) to NYS DOS with a copy to USACE

Applicants in New York City may submit a NYC CAF in lieu of the FCAF (NYC CAF available at <https://www1.nyc.gov/assets/planning/download/pdf/applicants/wrp/wrpform2016.pdf>).

For projects within the Adirondack Park - To determine permitting applicability, contact - New York State Adirondack Park Agency, PO Box 99, 1133 NYS Route 86, Ray Brook, NY 12977 (518) 891-4050; www.apa.ny.gov/

SPECIAL SUPPLEMENTS AND REQUIREMENTS FOR SPECIFIC PERMIT APPLICATIONS

<u>Applications for . . .</u>	<u>Must be accompanied by . . .</u>
• Dams and Impoundment Structures	Supplement D-1 ²
• Docks, Moorings or Platforms	Supplement D-2 ²
• 401 Water Quality Certification	Pre-filing request required 30 days prior to application submittal ² Supplement WQC-1 Form ²
• Water Withdrawal	Supplement WW-1 ² Water Conservation Program Form ² Legal Certification of Proper Water District or Water Works Corporation Formation ²
• Long Island Well	Regional specific supplement ²
• Wild, Scenic and Recreational River Systems	Supplement WSR-1 ²
• DEC Freshwater Wetlands and DEC Tidal Wetlands	Applications fees are required, except for activities under an established General Permit. Refer to: www.dec.ny.gov/permits/65153.html .
• USACE Section 404 Clean Water Act, and DEC Freshwater Wetlands, and DEC Tidal Wetlands	Applications to disturb a wetland or waterway by placing fill or performing mechanized land clearing, ditching, channelization, dredging, or excavation activities must discuss practicable alternatives that avoid, minimize or mitigate the proposed project impacts. Justification must be given for alternatives found suitable.

SUBMISSION OF APPLICATION FORMS AND ATTACHMENTS

Mail a completed application to each involved Agency based on project location and permit(s) required.

For DEC - Mail **two copies** of the Joint Application Form, supplemental forms, and all required attachments.

DEC encourages applicants to submit applications and supporting information electronically, and to submit paper copies as soon as possible. DEC is not yet able to accept electronic only application submissions except in limited circumstances. Contact your DEC regional Permits office for additional information on electronic submissions. The start of all application time frames are based on the Department’s receipt of your paper copies.

For Other Agencies - Mail **one copy** of the Joint Application Form, any supplemental forms, and all required attachments. Refer to each Agency’s website for specifications on submitting documents on electronically.

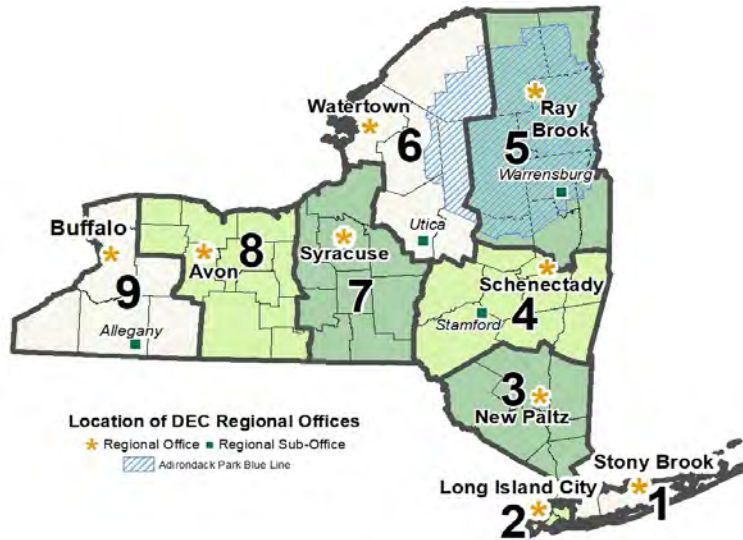
See the following pages for Agency Contact Information.

² DEC Forms are available at NYS DEC offices and at www.dec.ny.gov/permits/6222.html.

AGENCY CONTACT INFORMATION

New York State Department of Environmental Conservation

www.dec.ny.gov



NYS DEC REGION 6 Sub-Office

Regional Permit Administrator
Utica State Office Building,
207 Genesee Street, Room 1404
Utica, NY 13501-2885
phone: 315-793-2555
fax: 315-793-2748

email: dep.r6@dec.ny.gov

➤ For Herkimer and Oneida Counties

NYS DEC REGION 7

Regional Permit Administrator
5786 Widewaters Parkway
Syracuse, NY 13214-1867
phone: 315-426-7438
fax: 315-426-7425

email: dep.r7@dec.ny.gov

➤ For Broome, Cayuga,
Chenango, Cortland, Madison,
Onondaga, Oswego, Tioga and
Tompkins Counties

NYS DEC REGION 8

Regional Permit
Administrator 6274 East Avon
- Lima Road Avon, NY
14414-9519

phone: 585-226-5400
fax: 585-226-2830

email: dep.r8@dec.ny.gov

➤ For Chemung, Genesee, Livingston,
Monroe, Ontario, Orleans, Schuyler,
Seneca, Steuben, Wayne and Yates
Counties

NYS DEC REGION 9

Regional Permit Administrator
700 Delaware Avenue
Buffalo, NY 14209
phone: 716-851-7165
fax: 716-851-7168

email: dep.r9@dec.ny.gov

➤ For Erie, Niagara and Wyoming
Counties

NYS DEC REGION 9 Sub-Office

Regional Permit Administrator
182 East Union, Suite 3
Allegany, NY 14706-1328
phone 716-372-0645
fax: 716-372-2113

email: dep.r9@dec.ny.gov

➤ For Allegany, Cattaraugus and
Chautauqua Counties



NYS DEC REGION 1

Regional Permit Administrator
SUNY @ Stony Brook
50 Circle Road
Stony Brook, NY 11790-3409
phone: 631-444-0365
fax: 631-444-0360

email: dep.r1@dec.ny.gov

➤ For Nassau and Suffolk Counties

NYS DEC REGION 2

Regional Permit Administrator
1 Hunter's Point Plaza
47-40 21st Street
Long Island City, NY 11101-5407
phone: 718-482-4997
fax: 718-482-4975

email: dep.r2@dec.ny.gov

➤ For Brooklyn, Bronx, Manhattan,
Queens and Staten Island

NYS DEC REGION 3

Regional Permit Administrator
21 South Putt Corners Road
New Paltz, NY 12561-1620
phone: 845-256-3054
fax: 845-255-4659

email: dep.r3@dec.ny.gov

➤ For Dutchess, Orange, Putnam,
Rockland, Sullivan, Ulster and
Westchester Counties

NYS DEC REGION 4

Regional Permit Administrator
1130 North Westcott Road
Schenectady, NY 12306-2014
phone 518-357-2069
fax: 518-357-2460

email: dep.r4@dec.ny.gov

➤ For Albany, Columbia, Greene,
Montgomery, Rensselaer, Schenectady
and Schoharie Counties

NYS DEC REGION 4 Sub-Office

Regional Permit Administrator
65561 State Highway 10
Stamford, NY 12167-9503
phone: 607-652-7741
fax: 607-652-3672

email: dep.r4@dec.ny.gov

➤ For Delaware and Otsego
Counties

NYS DEC REGION 5

Regional Permit Administrator
PO Box 296
1115 NYS Route 86
Ray Brook, NY 12977-0296
phone: 518-897-1234;
fax: 518-897-1394

email: dep.r5@dec.ny.gov

➤ For Clinton, Essex, Franklin, and
Hamilton Counties

NYS DEC REGION 5 Sub-Office

Regional Permit Administrator
232 Golf Course Rd
Warrensburg, NY 12885-1172
phone: 518-623-1282;
fax: 518-623-3603

email: dep.r5@dec.ny.gov

➤ For Fulton, Saratoga, Warren
and Washington Counties

NYS DEC REGION 6

Regional Permit Administrator
Dulles State Office Building
317 Washington Street
Watertown, NY 13601-3787
phone: 315-785-2245
fax: 315-785-2242

email: dep.r6@dec.ny.gov

➤ For Jefferson, Lewis and
St. Lawrence Counties

AGENCY CONTACT INFORMATION

US Army Corps of Engineers

For DEC Regions 1, 2 and 3

US Army Corps of Engineers New York District

ATTN: Regulatory Branch, Room 1937
26 Federal Plaza
New York, NY 10278-0090

email: CENAN.PublicNotice@usace.army.mil

For DEC Regions 1, 2 - (917) 790-8511

For DEC Region 3 - (917) 790-8411

www.nan.usace.army.mil/Missions/Regulatory.aspx

For DEC Regions 4, 5

US Army Corps of Engineers New York District

Upstate Regulatory Field Office
ATTN: CENAN-OP-RU, Bldg. 10, 3rd Floor North
1 Buffington Street

Watervliet, NY 12189-4000

email: cenan.rfo@usace.army.mil

(518) 266-6350 - Permits Processing Team

(518) 266-6360 - Permit Compliance & Enforcement

www.nan.usace.army.mil/Missions/Regulatory.aspx

For DEC Regions 6, 7, 8, 9

US Army Corps of Engineers Buffalo District

ATTN: Regulatory Branch
1776 Niagara Street
Buffalo, NY 14207-3199

email: LRB.NewYork.RegActions@usace.army.mil

(716) 879-4330

www.lrb.usace.army.mil/Missions/Regulatory.aspx



New York State Department of State

<https://dos.ny.gov/coastal-consistency-review>

NYS Department of State

Office of Planning and Development
Suite 1010

One Commerce Plaza, 99 Washington Ave
Albany, NY 12231-0001

(518) 474-6000

email: cr@dos.ny.gov

New York State Office of General Services

<https://ogs.ny.gov/real-estate/lands-now-or-formerly-underwater>

NYS Office of General Services

Bureau of Land Management
39th Floor, Corning Tower

Empire State Plaza
Albany, NY 12242-0001

(518) 474-2195

email: LandUnderWater@ogs.ny.gov